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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Moungi BAWENDI et al. Art Unit : 1639
Appln. No.: 10/632,922 Examiner :
Filed : August 4, 2003
Title : SEMICONDUCTOR NANOCRYSTALS FOR INVENTORY CONTROL

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

As a means of complying with the duty of disclosure under 37 CFR §1.56, and in accordance with 37 CFR §§1.97 and 1.98, Applicants, through the undersigned attorney, submit this Supplemental Information Disclosure Statement along with the references listed on the attached form PTO-1449.

Attached is a \$180.00 filing fee check. Please apply any additional charges or credits to Deposit Account No. 19-4293.

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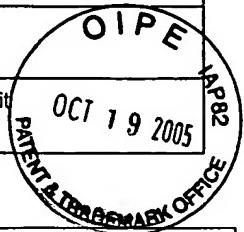
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Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 14952.0274 CIP DIV	Application No. 10/632,922
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Moungi BAWENDI, et al.		
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Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AA	Ekimov, A.I., <i>et al.</i> , "Quantum Confined Atoms of Doped ZnO Nanocrystals," <i>Phys. Stat. Sol (b)</i> 229, No. 2, 897-901 (2002).
	AB	Ekimov, A.I. <i>et al.</i> , "Spin-flip and acoustic-phonon Raman scattering in CdS nanocrystals," <i>Physical Review B</i> , Vol. 58, No. 4, 15 (July 1998-II), 2077-2087
	AC	Ekimov, A.I. <i>et al.</i> , "CdS nanocrystal growth in thin silica films: evolution of size distribution function," <i>Journal of Crystal Growth</i> 184/185 (1998) 360-364.
	AD	Ekimov, A.I. <i>et al.</i> , "Dynamics of excitons in CuBr nanocrystals: Spectral-hole burning and transient four-wave-mixing measurements," <i>Physical Review B</i> , Vol. 57, No. 3, 15 January 1998-I, 1774-1783.
	AE	Ekimov, A.I. <i>et al.</i> , "Size-selective resonant Raman scattering in CdS doped glasses," <i>Physical Review B</i> , Vol. 57, No. 1, 1 January 1998-I, 341-346.
	AF	Ekimov, A.I. <i>et al.</i> , "Growth and optical properties of semiconductor nanocrystals in a glass matrix," <i>Journal of Luminescence</i> 70 (1996) 1-20.
	AG	Ekimov, A.I. <i>et al.</i> , "Size dependence of acoustic and optical vibrational modes of CdSe nanocrystals in glasses," <i>Journal of Non-Crystalline Solids</i> 197 (1996) 238-246.
	AH	Ekimov, A.I. <i>et al.</i> , "Subpicosecond dynamics of confined excitons in CuCl nanocrystals," <i>Materials Science and Engineering</i> A217/218 (1996) 167-170.
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	AL	Ekimov, A.I. <i>et al.</i> , "Polaron and Exciton-Phonon Complexes in CuCl Nanocrystals," <i>Physical Review Letters</i> , Vol. 74, No. 9, 27 February 1995, p.1645.
	AM	Ekimov, A.I. <i>et al.</i> , "Growth of CdSe nanocrystals in ion-implanted SiO ₂ films," <i>Journal of Crystal Growth</i> 151 (1995) 38-45.
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	AO	Ekimov, A.I. <i>et al.</i> , "Optical Properties of Oxide Glasses Doped by Semiconductor Nanocrystals," <i>Radiation Effects and Defects in Solids</i> , 1995, Vol. 134, pp-11-22.

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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	AP	Ekimov, A.I. <i>et al.</i> , "Enhancement of Exciton Exchange Interaction by Quantum Confinement in CdSe Nanocrystals," <i>Jpn. J. Appl. Phys.</i> , Vol. 34, 12-14 (1994).		
	AQ	Ekimov, A.I. <i>et al.</i> , "Growth of CdS nanocrystals in silicate glasses and in thin SiO ₂ films in the Initial states of the phase separation of a solid solution," <i>Semiconductors</i> , 28 (5), May 1994, 486-493.		
	AR	Ekimov, A.I. <i>et al.</i> , "Interface effects on the properties of confined excitons in CuCl microcrystals," <i>Journal of Luminescence</i> 60 & 61 (1994) 396-399.		
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	BA	Ekimov, A.I. <i>et al.</i> , "Resonance Raman Spectroscopy of Electron-Hole Pairs -- Polar Phonon Coupling in Semiconductor Quantum Microcrystals," <i>Solid State Communications</i> , Vol. 78, No. 10, pp. 853-856, 1991.		
	BB	Ekimov, A.I. <i>et al.</i> , "Optics of Zero Dimensional Semiconductor Systems, <i>Acta Physica Polonica A</i> ," Vol. 79 (1991), No. 1. pp. 5-14.		
	BC	Ekimov, A.I. <i>et al.</i> , "Optical Properties of Semiconductor Quantum Dots in Glass Matrix," <i>Physica Scripta</i> . Vol. T39, 217-222 (1991).		
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BG	Ekimov, A.I. <i>et al.</i> , "Quantum-Size Stark Effect in Semiconductor Microcrystals," <i>Journal of Luminescence</i> 46 (1990) 97-100 North-Holland.			
BH	Ekimov, A.I. <i>et al.</i> , "Spectra and Decay Kinetics of Radiative Recombination in CdS Microcrystals," <i>Journal of Luminescence</i> 46 (1990) 83-95 North-Holland.			
BI	Ekimov, A.I. <i>et al.</i> , "Influence of high hydrostatic pressures on the exciton spectrum of CdS microcrystals in glass," <i>Sov. Phys. Semicond.</i> 23(9), September 1989, pp. 965-66.			
BJ	Ekimov, A.I. <i>et al.</i> , "Photoluminescence of quasizero-dimensional semiconductor structures," <i>Sov. Phys. Solid State</i> 31(8), August 1989, pp. 1385-93.			
BK	Ekimov, A.I. <i>et al.</i> , "Photoionization of semiconducting microcrystals in glass," <i>Sov. Phys. Solid State</i> 31(1), January 1989, pp. 149-151.			
BL	Ekimov, A.I. <i>et al.</i> , "Donor-like Exciton in Zero-Dimension Semiconductor Structures," <i>Solid State Communications</i> , Vol. 69, No. 5, pp. 565-568, 1989.			
BM	Ekimov, A.I. <i>et al.</i> , "Nonlinear Optics of Semiconductor-Doped Glasses," <i>Phys. Stat. Sol. (b)</i> 150, (1988) pp. 627-633.			
BN	Ekimov, A.I. <i>et al.</i> , "Nonlinear optical properties of semiconductor microcrystals," <i>JETP Lett.</i> , Vol. 46, No. 10, 25 November 1987 pp. 435-439.			
BO	Ekimov, A.I. <i>et al.</i> , "Quantization of the energy spectrum of holes in the adiabatic potential of the electron," <i>JETP Lett.</i> , Vol. 43, No. 6, 25 March 1986, pp. 376-379.			
BP	Ekimov, A.I. <i>et al.</i> , "Quantum Size Effect in Semiconductor Microcrystals," <i>Solid State Communications</i> , Vol. 56, No. 11, pp. 921-924, 1985.			
BQ	Ekimov, A.I. <i>et al.</i> , "Size quantization of the electron energy spectrum in a microscopic semiconductor crystal," <i>JETP Lett.</i> , Vol. 40, No. 8, 25 October 1984, pp. 1136-1139.			
BR	Ekimov, A.I. <i>et al.</i> , "Quantum size effect in the optical spectra of semiconductor microcrystals," <i>Sov. Phys. Semicond.</i> 16(7), July 1982, pp. 775-778.			
BS	Ekimov, A.I. <i>et al.</i> , "Quantum size effect in three-dimensional microscopic semiconductor crystals," <i>JETP Lett.</i> , Vol. 34, No. 6, 20 September 1981, pp. 345-349.			
BT	Ekimov, A.I. <i>et al.</i> , "Oscillations of polarization of recombination radiation of a variable gap semiconductor in a magnetic field," <i>JETP Lett.</i> , Vol. 25 No. 55, 526-528 (1977).			

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